



IFW

Attorney's Docket No.: 12732-049001 / US4963

2879

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei YAMAZAKI  
Serial No. : 09/871,805  
Filed : June 4, 2001  
Title : LIGHT EMITTING DEVICE

Art Unit : 2879  
Examiner : Dalei Dong  
Conf. No. : 1978

**MAIL STOP AF**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Applicant request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

Submitted herewith is an English translation of the following foreign language references, or portions thereof:

Desig. ID	Source
AH	JAPAN 07-094278 : English abstract provided by esp@cenet Worldwide (2006)
AI	JAPAN 10-039791 : Full English language translation
AJ	JAPAN 10-214060 : English abstract provided by esp@cenet Worldwide (2006) and family to U.S. Patent No. 5,990,629 (Desig. ID "AA") and PCT Publication No. WO 98/33165 (Desig. ID "AO")
AK	JAPAN 11-111457 : English abstract provided by esp@cenet Worldwide (2006)
AL	JAPAN 2000-089691 : English abstract provided by esp@cenet Worldwide (2006)
AM	JAPAN 2000-148087 : English abstract provided by esp@cenet Worldwide (2006)
AN	JAPAN 2000-150152 : English abstract provided by esp@cenet Worldwide (2006)

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. With the exception of references "AA" and "AO", each item of information in this statement (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated July 4, 2006 (and attached as reference "AQ"), which is not more than three months prior to the filing of this statement, and

09/18/2006 SDENB081 00000032 061050 09871805

01 FC:1806 100.00 DA

Applicant : Shunpei YAMAZAKI

Attorney's Docket No.: 12732-049001 / US4963

Serial No. : 09/871,805

Filed : June 4, 2001

Page : 2 of 2

(ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement.

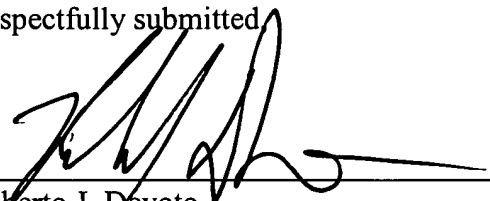
References "AA" and "AO" are English-language documents corresponding to reference "AJ" as noted above, and are cited in accordance with MPEP 609(III)(B)(5).

Please apply the \$180 late submission fee of §1.17(p) and any other charges or credits to Deposit Account No. 06-1050.

Date: \_\_\_\_\_

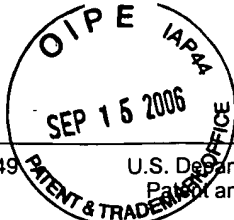
9/15/06

Respectfully submitted



Roberto J. Devoto  
Reg. No. 55,108

Customer No. 26171  
Fish & Richardson P.C.  
1425 K Street, N.W., 11th Floor  
Washington, DC 20005-3500  
Telephone: (202) 783-5070  
Facsimile: (202) 783-2331



Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-049001	Application No. 09/871,805
	Applicant Shunpei YAMAZAKI		
	Filing Date June 4, 2001	Group Art Unit 2879	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,990,629	11/23/1999	Yamada et al.			01/26/1998
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AH	JP-07-094278	04/07/1995	JAPAN			AB	
	AI	JP-10-039791	02/13/1998	JAPAN			FULL	
	AJ	JP-10-214060	08/11/1998	JAPAN			AB	
	AK	JP-11-111457	04/23/1999	JAPAN			AB	
	AL	JP-2000-089691	03/31/2000	JAPAN			AB	
	AM	JP-2000-148087	05/26/2000	JAPAN			AB	
	AN	JP-2000-150152	05/30/2000	JAPAN			AB	
	AO	WO1998/033165	07/30/1998	WIPO			In English	
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	Japanese Office Action, Application Serial No. 2001-169098, dated July 4, 2006, with English Translation.
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	